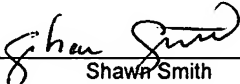


15866 U.S. PTO  
030904

CERTIFICATE OF EXPRESS MAILING	
NUMBER	EV 336 530 992 US
DATE OF DEPOSIT	March 9, 2004
I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.	
 Shawn Smith	

17513 U.S. PTO  
10/796782  
030904

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty. Dkt. No.: **4100.001099**

Prior Serial No.: **09/389,435**

**BOX PATENT APPLICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Prior Application Examiner: **Maier, L.**

Prior Group Art Unit: **1623**

**REQUEST FOR FILING CONTINUATION  
APPLICATION UNDER 37 C.F.R. § 1.53(b)**

This is a request for filing a continuation application under Rule 53(b) (37 C.F.R. § 1.53(b)) of co-pending prior application Serial No. 09/389,435 (the '435 application), filed September 03, 1999, entitled "Methods and Compositions for the Prevention or Treatment of Cancer"

- ☒ 1. Enclosed is a true copy of the prior application Serial No. 09/389,435 as originally filed, including the specification, claims, drawings and declarations (original and subsequent declaration of actual inventors). The declarations were properly submitted after filing. The undersigned hereby verifies that the attached papers are a true copy of the prior application as originally filed and identified above, that no amendments (if any) referred to in the declaration filed to complete the prior application introduced new matter therein, and further that this statement was

made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

(a) ☒ The inventorship is the same as prior Application Serial No. 09/389,435 (as amended). Enclosed are copies of the amendment and petition to correct inventorship, statement from inventors, consent of Assignee and declaration of actual inventors.

(b) ☐ Deletion of inventor(s). Signed statement attached deleting an inventor named in the prior application, see 37 C.F.R. § 1.63(d)(2) and 1.33(b).

☐ 2. Enclosed is a check in the amount of \$\_\_\_\_.00 to cover the filing fee as calculated below and the fee for any new claims added in the Preliminary Amendment referred to in Clause No. 9 below.

**CLAIMS AS FILED IN THE PRIOR  
APPLICATION LESS CLAIMS CANCELED BELOW**


FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
Basic Fee -----				\$ 385.00
Total Claims	1 - 20 =	0	\$ 9.00 =	\$ -0-
Independent Claims	1 - 3 =	0	\$43.00 =	\$ -0-
Multiple Dependent Claim(s) -----				\$ -0-
<b>TOTAL FILING FEES:</b>				<b>\$ 385.00</b>

- ☒ 3. This application is filed without the filing fees. Pursuant to 37 C.F.R. § 1.53(b) and (f), the Applicants request that the Patent and Trademark Office accept this application and accord a serial number and filing date as of the date this application is deposited with the U.S. Postal Service for Express Mail. Further, Applicants request that the NOTICE OF MISSING PARTS-FILING DATE GRANTED pursuant to 37 C.F.R. § 1.53(f) be sent to the undersigned Applicants' representative.
- ☒ 4. Applicant is entitled to Small Entity Status for this application.
- ☐ (a) A small entity statement is enclosed.
- ☒ (b) A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired (copy attached).
- ☐ (c) Small entity status is no longer claimed.
- ☐ 5. If the check is missing or insufficient, the Assistant Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 to 1.21 which may be required for any reason relating to this document, or credit any overpayment to Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/\_\_\_\_\_.
- ☒ 6. Enclosed is a copy of the current Power of Attorney document in the prior application.
- ☒ 7. Address all future communications to:

Shelley P.M. Fussey, Ph.D.  
WILLIAMS, MORGAN & AMERSON, P.C.  
10333 Richmond, Suite 1100  
Houston, Texas 77042  
(713) 934-7000

- ☒ 8. The prior application is presently assigned to The Regents of the University of Michigan (copy of prior Assignment attached).
- ☒ 9. Enclosed is a Preliminary Amendment. The amendment does not result in additional claim fees (see Clause No. 2 above).
- ☒ 10. Cancel in this application claims 1-43 and 45-79 of the prior application before calculating the filing fee (at least one original independent claim is retained).
- ☐ 11. Amend the specification by inserting before the first line the sentence: --This is a continuation of co-pending application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.--
- ☒ 12. Enclosed are formal drawings of FIG. 1 through FIG. 5B on a total of 4 sheets.
- ☒ 13. The present paper constitutes Applicants' compliance with their duty of disclosure under 37 C.F.R. §1.56. Please make all prior art of record in parent application Serial No. 09/389,435 of record in this case, including that cited by the Office and Applicants. As a courtesy, the following is enclosed:
- ☒ (a) Copies of PTO-1449s from prior application (References A1-A15 and C1-C82).
- ☐ (b) Copies of IDS citations.
- ☐ 14. Other:
- ☒ 15. Return Receipt Postcard.

Respectfully submitted  
Williams, Morgan & Amerson, P.C.  
Customer No. 23720

  
Shelley P.M. Fussey, Ph.D.  
Reg. No. 39,458  
Agent for Applicants

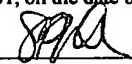
10333 Richmond, Suite 1100  
Houston, Texas, 77042  
(713) 934-4079

Date: March 9, 2004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	§	
George J. Brewer, Sofia D. Merajver and	§	
Dimitri Coucouvanis (As Amended)	§	
	§	Group Art Unit: 1643
Serial No.: 09/389,435	§	
	§	Examiner: Unknown
Filed: September 3, 1999	§	
	§	Atty. Dkt.: 4100.001000
For: METHODS AND COMPOSITIONS	§	
FOR THE PREVENTION OR	§	
TREATMENT OF CANCER	§	

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:	
Mar. 07, 2000	
Date	Shelley P. M. Fussey

STATEMENT FROM INVENTORS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We, Nathan Ockwig and Dimitri Coucouvanis, declare that the following statements are true.

1. Nathan Ockwig was identified as a potential co-inventor of certain claims in the above-referenced application late in the drafting stage of the application. It is our understanding that there was not sufficient time prior to the filing of the application to conduct a thorough inventorship analysis, and thus, in an abundance of caution, Nathan Ockwig was included as a co-inventor on the application.

2. Subsequent to the filing of the application, a detailed analysis of the inventorship of the subject matter claimed in the above-referenced application was conducted by Applicants' representatives. The analysis determined that Nathan Ockwig was not a co-inventor of the subject matter disclosed and claimed in the present application.


3. The erroneous naming of Nathan Ockwig as a co-inventor on the present application occurred without any deceptive intention.

4. We hereby declare that all statements made herein of our knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2/25/00  
Date

2/25/00  
Date

Nathan Ockwig  
Nathan Ockwig  
Dimitri Coucouvanis  
Dimitri Coucouvanis

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 Shawn Smith	

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

George J. Brewer, Sofia D. Merajver and  
Dimitri Coucouvanis

Serial No.: Unknown

Filed: March 9, 2004

For: Methods and Compositions for the  
Treatment of Angiogenic Diseases (As  
Amended)

Group Art Unit: 1623

Examiner: Maier, L.

Atty. Dkt. No.: 4100.001099

**SUBMISSION OF FORMAL DRAWINGS**

**ATTN: OFFICIAL DRAFTSMAN**


Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants hereby submit the formal drawings for the above-referenced application  
(FIG. 1-FIG. 5B on 4 sheets) and request that these drawings be accepted for filing.

Respectfully submitted,

Williams, Morgan & Amerson, P.C.  
Customer No. 23720  
10333 Richmond, Suite 1100  
Houston, Texas 77042  
(713) 934-7000  
Date: March 9, 2004

  
Shelley P.M. Fussey, Ph.D.  
Reg. No. 39,458  
Agent for Applicants